BSI-230US1 PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

To be assigned

Applicant:

David Tseng (et al.)

Filed:

Herewith

Title:

INTRALUMINAL STENT

TC/A.U.:

Examiner:

Continuation of:

Appln. No:

09/623,347

Applicant: Filed:

David Tseng (et al.) November 15, 2000

Title:

INTRALUMINAL STENT

TC/A.U.:

3738

Examiner:

Hieu Phan

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed PTO Form 1449 are not required to be provided, because they were cited by or submitted to the Patent and Trademark Office in prior application Serial No. 09/623,347, filed November 15, 2000, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

This Information Disclosure Statement is being filed concurrently with the abovereferenced application.

espectfully submitted

Paul F. Prestia, Reg. No. 23,031

Attorney for Applicants

PFP/bgd

Enclosures: PTO Form 1449

Dated: December 29, 2003

✓ P.O. Box 980Valley Forge, PA 19482(610) 407-0700

P.O. Box 1596
Wilmington, DE 19899
(302) 778-2500

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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December 29, 2003

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kathleen Libby

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	Substitute for Form 1449A/PTO	Complete if Known				
		Application Number	To be assigned			
	INFORMATION DISCLOSURE	Filing Date	Herewith			
	STATEMENT BY APPLICANT	First Named Inventor	David Tseng (et al.)			
	(Use as many sheets as necessary)	Art Unit				
		Examiner Name				
	SHEET 1 of 4	Attorney Docket No.	BSI-230US1			
	U.S. PA	TENT DOCUMENTS				

		SHEET			sy Docket 140.			
	<del></del>		U.S. PATE	NT D	OCUMENTS			
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (if known)</sup>	Publication D (MM-DD-YYY			of Patentee or of Cited Document	Pages, Columns, Lines, W Relevant Passages or Rele Figures Appear	
		US-4,512,338	04/23/85		Balko et al.		entire document	
		US-4,856,516	08/15/89		Hillstead		entire document	
		US-4,886,062	12/12/89		Wiktor		entire document	
		US-4,994,071	02/19/91		MacGregor		entire document	-
		US-5,019,090	05/28/91		Pinchuk		entire document	
		US-5,035,706	07/30/91		Gianturco		entire document	
		US-5,102,417	04/07/92		Palmaz		entire document	
		US-5,104,404	04/14//92		Wolff		entire document	
		US-5,133,732	07/28/92		Wiktor		entire document	
		US-5,135,536	08/04/92		Hillstead		entire document	
		US-5,195,984	03/23/93	• •	Schatz		entire document	
		US-5,201,901	04/13/93		Harada et a	il.	entire document	
		US-5,217,483	06/08/93		Tower		entire document	
		US-5,282,824	02/01/94		Gianturco		entire document	
		US-5,292,331	03/08/94		Boneau		entire document	
		US-5,354,309	10/11/94		Schnepp-Pe	esch et al.	entire document	
, .		US-5,370,683	12/06/94		Fontaine		entire document	
		US-5,405,377	04/11/95	•	Cragg		entire document	
		US-5,421,955	06/06/95		Lau et al.		entire document	
		US-5,443,498	08/22/95		Fontaine		entire document	
		· F	OREIGN PA	TEN'	T DOCUMEN	TS		
Examiner Initials*	Cite No.1	Foreign Patent Docum Country Code <sup>3</sup> - Number <sup>4</sup> - Kind			blication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6
		WO 96/41590		12,	/27/96	Sgro	pg 9, 10; Figs 1-10	
		EP 0 801 934 A2	-	10,	/24/97	Boyle	cols 4, 7; Figs 1-7	
		EP 0 480 667		04	/15/92	Gianturco	entire document	
		EP 0 734 699		10	/02/96	Lau et al.	entire document	<u> </u>
		EP 0 807 424			/29/97	Lau et al.	entire document	
		EP 0 540 290			/28/98	Lau et al	entire document	
Examiner Signature		•				Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant,

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.
<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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	Substitute for Form 1449A/PTO	Complete if Known				
		Application Number	To be assigned			
	INFORMATION DISCLOSURE	Filing Date	Herewith			
	STATEMENT BY APPLICANT (Use as many sheets as necessary)	First Named Inventor	David Tseng (et al.)			
		Art Unit				
		Examiner Name				
	SHEET 2 of 4	Attorney Docket No.	BSI-230US1			
	U.S. PA	TENT DOCUMENTS				

		SALET A			by Boalet 110.	20. 200001			
			U.S. PATE	NT D	OCUMENTS				
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (if known)</sup>	Publication D (MM-DD-YY)			of Patentee or of Cited Document		Pages, Columns, Lines, W Relevant Passages or Rele Figures Appear	
-		US-5,449,373	09/12/95		Pinchasik et	al.	$\top$	entire document	
		US-5,507,767	04/16/96		Maeda et al		$\top$	entire document	
		US-5,514,154	05/07/96		Lau et al.		十	entire document	
		US-5,549,663	08/27/96		Cottone, Jr.	·	T	col. 6	
		US-5,569,295	10/29/96		Lam			entire document	
		US-5,575,816	11/19/96		Rudnick et a	al.	T	entire document	
,		US-5,603,721	02/18/97		Lau et al.		T	entire document	
		US-5,626,599	05/06/97		Bourne et a	l.	$\top$	entire document	
		US-5,649,952	07/22/97		Lam			entire document	٠.
		US-5,653,727	08//05/97		Wiktor		Т	entire document	
		US-5,674,278	10/07/97		Boneau		Т	entire document	
		US-5,707,386	01/13/98		Schnepp-Pe	sch et al.		entire document	
		US-5,716,396	02/10/98		Williams, Jr.		Т	col. 4; Figs. 1-3	
		US-5,728,158	03/17/98		Lau et al.		Т	entire document	
		US-5,735,893	04/07/98		Lau et al.		Т	entire document	1.0
		US-5,766,238	06/16/98		Lau et al.			entire document :	÷
		US-5,800,456	09/01/98		Maeda et al	•	7	entire document	٠٠٠, ١
		US-5,800,508	09/01/98		Goicoechea	et al.	$\top$	col 10, Fig. 2A	
		US-5,800,515	09/01/98		Nadel et al.		$\top$	cols 3, 4, 6, Figs 1-3,6	,
		US-5,800,519	09/01/98		Sandock		Т	col 4, Figs 2-2b	•
		F	OREIGN PA	TEN.	T DOCUMEN	rs			
Examiner	Cite	Foreign Patent Docum		Dut	blication Date	Name of Patentee Applicant of Cited		Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind	Code <sup>5</sup> (If known)		M-DD-YYYY)	Document	J	Figures Appear	T <sup>6</sup>
		WO 98/20810		05,	/22/98	Kim		entire document	
		WO 93/13825		07,	/22/93	Maeda		entire document	
		EP 0 662 307		05,	/06/98	Lam		entire document	
		WO 97/09945		03,	/20/97	Wm. Cook Europ	е	entire document	
Examiner						Date		1	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Considered

Signature

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Substitute for Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 3 of 4

	Complete if Known					
Application Number	To be assigned					
Filing Date	Herewith					
First Named Inventor	David Tseng (et al.)					
Art Unit						
Examiner Name						
Attorney Docket No.	BSI-230US1					

			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,968,091	10/19/99	Pinchuk et al.	entire document
		US-6,136,023	10/24/00	Boyle	entire document
		US-6,383,216	05/02	Kavteladze et al.	entire document
		US-6,368,346	04/02	Jadhav	entire document
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		FOREIGN PA	TENT DOCUMEN	rs		
	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Name of Patentee or		
Examiner Initials*				Applicant of Cited Document	Passages or Relevant Figures Appear	T6
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<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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Complete if Known Substitute for Form 1449B/PTO To be assigned **Application Number** INFORMATION DISCLOSURE Herewith Filing Date STATEMENT BY APPLICANT First Named Inventor David Tseng (et al.) (Use as many sheets as necessary) Art Unit **Examiner Name** 

Attorney Docket No.

SHEET 4 of 4

BSI-230US1

Considered

		NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (b magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city a country where published						
		International Search Report for corresponding International Application No. PCT/US99/04694, dated 06/29/99					
<u> </u>							
Examiner Signature		Date Considered	_				

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